**CONSERVATION FARMING FOR FOOD SECURITY**

**FOR SUBMISSION TO:**

**Global Giving**

**AFRICAN RESPONSE TO RELIEF AND DEVELOPMENT**

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1. **PROPOSAL SUMMARY SHEET**
	* Date of Proposal Submission: May 31, 2019
	* Country: Uganda
	* Specific Areas (e.g.: state/province, county, town, etc): **Moyo**

 **District**

* + Total Budget: **US$ 5,000**
	+ Project Start Date: **July 1, 2019**
	+ Project End Date: **May 31, 2019**
	+ Expected Number of Participants: **100 Households**
	+ Brief Description of Participants: **Smallholder subsistence farmers**

 **Who seldom produce?**

 **Enough food to meet annual consumption needs.**

* + Narrative Summary of the Project Including Rationale and Planned Activities: **(quarter page or less, please)**

The conventional farming system of tilling soil using animal traction and applying fertilizer is not well adapted to the cycle of reoccurring droughts, declining soil fertility and lack of oxen and inputs in Moyo district of west Nile region of Uganda. The result of these problems is a chronic shortfall in food production at the household level as families are forced to become more dependent on food relief.

Conservation farming (CF) is a minimum tillage farming system adapted to smallholder maize based farming systems that emphasizes mulching, using locally available manure and digging planting stations rather than ploughing fields. Experience from other projects indicates that even in most seasons with lower than average rainfall, households can meet annual household cereal needs by producing maize with CF practices on a ¼ ha (50m x 50m) plot.

This 1-year project will increase household food security through the introduction and adoption of CF by 100 households. An additional 200 households will be indirect beneficiaries as they will be mentored by the 100 beneficiary households. In addition, the project will introduce suitable open pollinated variety (OPV) maize seed and cowpeas, and form community seed banks to introduce a sustainable and quality seed source for crop production. To reduce dependency and enhance project ownership, project beneficiaries will “pass on the seed gift” by donating high quality maize and cowpea seed the following year to a community seed bank

**Material Resources Requested**

Seed (specify units): OPV maize seed – 600 kg and cowpeas – 400 kg (These seed inputs will only be provided during year one, after which no free inputs will be provided as local production, will meet needs)

## Project Overview

* 1. **Implementing Partner Information**

African Response to relief and development (ARRD) is a national development organization formed in 2018 in Uganda with a group of professional refugees and Ugandans to assist refugees and hosting communities including the rural people struggling to feed their families. African response to relief and development focuses on livelihood implementation of agriculture development projects, education and peace building.

ARRD seeks to reconcile South Sudanese communities and families to overcome their differences and fight poverty, to improve the quality of life of vulnerable groups and to help people build a healthy relationship with one another.

The mission furthermore stress in helping communities discover their useful potentials, and support them in making their own positive changes, so as to realize for themselves and to the rest of societies, hope of sustainable equal opportunities, dignity, respect, livelihoods and development.

ARRD has experienced staff and volunteers who had worked in different organizations in different capacities that can implement this propose agriculture development project. We realize that long-term solution is urgently needed to fight hunger. Conservation farming emerged as a farming system which was very resilient and robust in a wide range of climatic conditions in the country. The previous CF Project with other development agencies was very well received by communities in eastern Districts of Uganda because it generated significant increases in production and food security, as well as spontaneous uptake by other members of the communities.

ARRD is well positioned to implement the project. The organization has trained staff and institutional experience which will give strong leadership to the Project and avoid the mistakes made when the Project is launched. ARRD also has trained CF farmers who will be used for farmer-to-farmer exchanges to promote CF. Through the experience of these staff who had worked in similar project, they have learned the importance of closely monitoring the adoption of the key CF practices in the initial stages of introducing the farming system, in particular the use of mulch. The relationships ARRD has in the targeted communities will increase the likelihood of success of the project because of the knowledge of the region and experience working in the area.

Lastly, ARRD has developed an excellent rapport with the local government agriculture extension officers that live and work in the district and are willing to support the project since they are involved in the design of this project proposal. They will be a valuable instrument to promote and enhance the adoption of CF to improve the lives of the refugee communities and their host citizens in the district.

**Project Location Background**

The project will be implemented in Palorinya refugee settlement in Moyo district. Households will volunteer to be part of the project.

During the past year, ARRD has implemented livelihood Projects in other refugee settlements targeted for this food production activities.

The area was chosen for several reasons. ARRD has a long-standing relationship with the communities where the project will be implemented. There have been strong requests from community leaders that the root cause of food insecurity be targeted, namely declining crop yields. Lastly, the climatic conditions and crop production challenges in the area match the situation where CF can be effective in increasing yields.

The cropping season generally begins with the first rains in April. Farmers traditionally use oxen to till the land and plant the crop after the first rains. Maize is the dominant crop with some small plots of groundnuts and beans also produced. If rains are consistent, farmers will generally harvest in July or August, depending when the crop was seeded. Planting is usually delayed for many farmers, especially if they are resource poor, because they have to wait to use their neighbor’s oxen and often work for their neighbors in order to use the oxen. If a prolonged dry period occurs shortly after planting, the crops are sometimes reseeded which often results in a significant drop in yield. During the dry winter months that run from January to April, no field crops are grown. Families that have access to wells will often grow vegetables including tomatoes and kale on small plots during this time period.

**Project Rationale**

*Problem Overview*

The central problem causing food insecurity in the district is declining crop yields which are reducing the number of months that families can feed themselves. The problem of declining crop yields and its impact on household food reserves is best explained by a combination of factors.

The first factor reducing crop yields is **declining soil fertility**. Specific farm management factors that reduce soil fertility include burning crop residue prior to planting, increasing soil erosion by ploughing, applying insufficient manure and fertilizer to replace lost soil nutrients and failing to adopt a legume rotation. Farmers often say that the soils are “tired” and “don’t produce as before”. Less than 10% of the farmers can afford inorganic fertilizers, and farmers do not understand how leguminous plants can be used as green manures and cover crops to improve the soil fertility.

The second factor affecting crop yields is **prolonged drought and erratic rainfall**. Especially this year as we write this proposal there was prolonged drought and erratic rainfall and therefore this is going to be the main reasons why the farmers would not able to produce enough food to feed their families. Another problem is also limited land availability reduced the farmer’s production.

The third factor affecting crop yields is **low quality maize seed**. During the last 10 years, farmers gradually shifted over to hybrid seed. This change was promoted by government agriculture extension officers and NGOs through the donation and subsidy of hybrid seed. Over the last 5 years, a down-turn in the economy and successive droughts prevented smallholder farmers from purchasing hybrid seed. As a result, farmers are using seed stocks from previous hybrid seed that lack vigour and germination which results in significant yield reductions.

The fourth factor affecting crop yields is the **HIV/AIDs epidemic.** Sizable number of households is child-headed whose parents had died from HIV/AIDs. These households had cropped an average of 0.5 ha of land compared to the 2 ha needed to achieve food security in most years. The total maize production average from child-headed households was 150 kg/household compared to the average of 300 kg/household for non-HIV/AIDs affected households.

***Effect on Population***

Declining crop yields have affected the population in a number of ways. The most immediate effect is a reduction in household food reserves and a subsequent increase in the “hunger gap”, the time period when households have insufficient food to meet dietary demands.

Another effect of declining food resources are high malnutrition rates. Data from the health clinics in the district indicate that 1 in 5 children under 5 are moderately malnourished. This change is in part related to reduced food availability and consumption at the household level. Conversations with health care providers in these clinics indicated an increase in the number of people visiting clinics last 2 years.

***Coping Strategies***

The coping strategies the refugees and the host communities in the targeted area were using at the beginning of the lean season last year were examined using a baseline survey for the development food aid project. 25% of households indicated that at least one member of their family had left the community in search of work to buy food. 80% of the households were eating less than 2 meals per day. 30% of households sold productive households assets (i.e.: hoes, ploughs and oxen, goats) during the last month to purchase food. Food rationing at meal times was practiced by 75% of the households.

## Beneficiary Selection

The beneficiaries in this project will be 100 70% refugees and 30% host communities of smallholder families who primarily depend on subsistence farming for their livelihood. They live and farm in Palorinya of Moyo District which suffers from chronic food insecurity. All these famers in the both communities of Moyo district will be selected and have to be introduce to promote CF into the area.

Approximately 35% of the beneficiary households will be headed by women because their husbands have died (likely of war and HIV/AIDS) or are working outside of the country. Approximately 15% will be child-headed households who have lost both parents.

Individual beneficiary selection in the refugee camp and their host communities will take place at CF introductory meetings held (two meetings). The purpose of these meetings is to introduce the CF project. After learning about CF, the farmers will be invited to participate. From those that express interest, the criteria for project participation depends on the participant’s commitment to the following activities:

1. Establishment of a ¼ ha CF plot (50m x 50m)
2. Regular participation in CF trainings
3. promote CF to 2 households not part of the project through at least 4 visits/year/household to provide informal CF training
4. participation in monthly meetings
5. Donate 6 kg of OPV maize seed and 4 kg of cowpea seed from the first year’s CF production to the community seed bank. This seed will be given to others in the community using a similar “passing on the gift” approach so that others in the community will benefit from the Project and increase the use of high quality OPV maize seed and cowpea seed.

A limit of 10 households is accepted in the Project per village. If there are more than 10 households who volunteer and meet the above criteria, the community must select which households will participate based on need. Households that live in close proximity to each other will be favoured in order to increase mutual learning and support. This usually happens given the layout of the village. The self-selection of the households usually results in the more resource poor joining the Project because they are attracted to a farming system which doesn’t rely on animals to till land.

The beneficiary households will be named CF Village Promoters (CFVPs). The total number of CFVPs will be 10 households/village x 10 villages = 100 CFVPs. From the group of 10 households representing a village, a Lead CFVP will be elected by the group. In addition to the above criteria, the Lead CFVP household is expected to liaison with ARRD, conduct trainings in their community and attend ARRD trainings. The Lead CFVP is a volunteer position and farmers elected for this position will receive specialized training that other members in their community will not receive. Given the importance of the Lead CFVP, the group of CFVPs must carefully select a Lead CFVP that is capable and willing to serve others. The Lead CFVP track record in being a “first adopter” of promising technologies will also be considered. If a Lead CFVP does not fulfill their commitment to lead trainings for the other CFVPs, they will be replaced. Given the simplicity of the CF technology, Lead CFVPs generally have few difficulties in training others in their village.

Ideally a husband-wife team (considered as one household) will be selected to be the CFVPs, although in some situations, depending on who is at home and household make-up, only one member of the household will be the designated representative. Over 50% of the volunteers have to be women to represent their households, reflecting their willingness to try new ideas. The purpose of designating a husband-wife team to be the CFVPs is to promote gender equality.

The willingness of the CFVPs to promote CF to two additional households not part of the Project will be strongly emphasized. If this is done effectively, an additional 600 households (indirect beneficiaries) will learn about CF. During the final evaluation after the project has run 1 year, the total uptake of CF in the community will be measured which will capture the spread of CF to indirect beneficiaries and spontaneous adopters.

## Project Description

The Project Rationale (Section 3.3) of this proposal identified drought, declining soil fertility, poor seed quality and HIV Aids as major factors causing food insecurity. Based on lessons learned from similar projects implemented by other agencies in the region, the adoption of CF by smallholder farmers and the development of community OPV maize seed banks are the most effective responses to deal with the root causes of hunger in these rural areas. The table below describes how the strategic intervention of promoting CF and OPV maize seeds responds to the identified problem.

|  |  |
| --- | --- |
| **Problem** | **Project Response** |
| Declining soil fertility | The introduction of CF increases soil fertility through the addition of mulch and the subsequent reduction of soil erosion. Part of CF is promoting a rotation with legumes which will also enhance soil fertility. |
| Drought & erratic rainfall | The introduction of CF will improve the ability of crops to withstand drought by reducing soil moisture loss with a mulch cover, increase moisture holding capacity of soils with increased organic matter and allow farmers to plant earlier based on the planting station system which eliminates the need to plough. |
| Poor seed quality | The introduction of OPV maize seed and the organization of community seed banks will respond directly to the problem of poor seed quality. |
| HIV/AIDS | HIV affected households often have reduced labour. By adopting CF, households will harvest more food with a lower labour input per unit of food produced. |

The inputs, activities, outputs and outcomes described below are focused on enhancing the adoption of CF practices and development of community OPV maize seed banks to improve household food security.

**Ultimate Outcome**

Improved food security in Palorinay refugee settlement and their host communities of Moyo District, West Nile region Uganda

**Intermediate Outcomes**

**Intermediate Outcome 1.1: Increased food production**

The main factors causing food insecurity in the targeted district is declining food production. Most farmers produce maize using conventional farming methods on 1-2 ha of land. During last year’s one of growing seasons, the drought was severe leaving many families with very poor crops. If food production can be sustainably increased, household food security will improve, meaning that families should have additional months of food stocks and reduce rationing of food. The method to increase food production will be promoting the adoption and practice of CF to increase maize and cowpea yields and total production.

The indicators to measure increased food production will be based on average production per household for each year using the following indicators:

**Indicator 1.1.1: Kilograms of CF cereal production per household.** A representative sample of CFVPs will be surveyed at the end of the harvest period each year to determine how much they harvested from their CF plot. The dominant crop is maize and is measured in bags by farmers which will be converted into kg. The target maize production for year 1 from a 50m x50m plot (0.25 ha) will be 200 kg, respectively, for the CFVPs. Past experience shows that as soils improve and farmers gain more understanding on CF practices, yields continue to increase. As CF has not been introduced in this district, the baseline production from CF is 0 kg of maize.

**Indicator 1.1.2: Kilograms of conventional cereal production per household**. Farmers continue to use conventional farming systems to produce maize and sometimes sorghum as they learn the CF system. According to experience from CF projects implemented by other agencies where our staff members worked with, it was observed that the amount of land under conventional production decreases after 2-3 years using the CF system. The reason for measuring conventional cereal production of maize is to determine the total cereal production (CF+ conventional) on an annual basis. This will help understand the food security situation related to cereal availability.

**Indicator 1.1.3: Kilograms of CF legume production per household.** Legume production per CFVP is only tracked for CF. Legumes are not widely grown in the area, and need to be part of the CF rotation to improve sustainability and soil quality. The legumes will be used for home consumption and sale.

It should be noted that households size varies from 1 person (widow) to over 10 people (family taking care of orphans). Not surprisingly, the total household production for both crops (maize and cowpeas) varies considerably between households, and therefore will be averaged to determine if targets are met.

### Intermediate Outcome 1.2: Increased number of months that crop production meets annual household cereal food needs

An increase in the number of months that crop production meets annual household cereal food needs is an essential outcome to improve food security for this 1 year food security project. If families can increase production of cereal crops (maize, sorghum and millet), they will cover a greater proportion of their annual cereal food needs. This is a good indicator of improved food security. It is expected that the production of annual household cereal food needs will be reached by all participants by the end of year. Only cereals are used in this intermediate outcome to simplify calculations, even though legumes are also produced with CF.

According to a government survey in the district, the average number of months that households can feed themselves based on this year’s maize harvest is 4 months. Average family size is 6 members.

Approximately 12.5 kg of maize/month is required by each family member. Therefore a household with 6 members needs 75 kg of maize per month, or 900 kg per year. The current average production per household is 300 kg of maize according to the government, which corresponds to the 4 months of food available for consumption.

Unique about this outcome is that it is specific to a household’s food needs, and therefore reflects the annual cereal food needs based on the number of members in each household. The following indicator will be used:

**Indicator 1.2.1: Average number of months that own cereal production meets household cereal food needs.** Each household interviewed will estimate the number of months that their cereal food production will meet their annual household food requirements. A crop production survey conducted by the Ministry of Agriculture determined that household food production during the last cropping cycle will supply enough cereal to meet household cereal needs for 4 months in the district. A baseline survey of the project participants will be conducted to confirm the number of months last year’s cereal production will meet household food requirements as this may vary from the government survey.

### Intermediate Outcome 1.3: Improved accessibility of locally produced high quality OPV maize seed

As mentioned in Section 3.3 (Problem Rationale), the maize seed used by smallholder farmers is often of very low quality and contributes to reduced maize yields and ultimately, reduced food security. One method of overcoming the issue of seed quality and the need to purchase hybrid seed each year is by improving access to locally produced OPV maize seed. This will be done through an OPV maize seed multiplication Project and community seed bank which is explained in more detail in Section 5.6.1 (Field Activities).

Improved community accessibility to locally produced OPV maize seed will be measured using the following indicators:

**Indicator 1.3.1: Kilograms of OPV maize seed produced by CFVPs**. Each CFVP will be trained on how to produce high quality OPV maize seed, and the production from their plot will be measured after harvest. This maize will be carefully selected as taught in the seed selection trainings.

**Indicator 1.3.2: Kilograms of OPV maize seed distributed by community seed banks**. The project will establish two community seed banks, each serving 5 villages. After harvest, CFVPs will donate to the seed bank from their OPV maize seed multiplication plots. At the start of the next cropping season, this OPV maize seed will be distributed to others in the community who have not yet received OPV maize seed. CFVPs are required to donate 6 kg of OPV maize seed to their local community seed bank which would result in an overall total of 600 kg of OPV maize seed in community seed banks. In addition, project participants are required to donate 4 kg of cowpea seed to the seed bank, but this will not be measured in the project M&E system.

The primary focus is on improving access to OPV maize seed.

**Immediate Outcomes**

**Immediate Outcome 2.1: Increased adoption of CF practices**

The immediate outcome of increased adoption of CF practices should result from the training workshops and follow-up, and contribute to the intermediate outcomes of increased food production and food security at the household level. The indicators are as follows:

**Indicator 2.1.1: Percent of CFVPs that use 75 cm (between rows) x 60 cm (in-row) maize planting station spacing.** This is the recommended maize planting station spacing for the region and is taught in the training workshop.

**Indicator 2.1.2: Percent of CFVPs that apply 100% mulch cover at a minimum depth of 5 cm**. This component of the CF system is key to increased production, but most difficult to adopt because of the labour investment required to source and apply mulch. However, farmers recognize the benefits of mulch almost immediately by the improved crop growth and reduced symptoms of moisture stress, especially during dry years. During years of high rainfall, the mulch also has a significant positive impact on yields by diminishing soil erosion and reducing nutrient leaching.

**Indicator 2.1.3: Percent of CFVPs that include a rotation with a legume on at least 8.4% of the CF plot**. In order to improve soil fertility and break disease cycles, legumes must be included in the rotation on the CF plot. If a legume is maintained in rotation on 8.4% of the CF plot, the rotation will be 1 year of corn followed by 1 year of beans. Ideally, a much more frequent bean rotation should be the goal, given the availability of the market, beans and other legumes rotation will be promoted. Cowpea seed will be provided to CFVPs only for the first year. Thereafter, CFVPs will use their own production for the rest.

**Indicator 2.1.4: Average land area under CF by CFVPs.** CFVPs will be given enough OPV maize and bean seed for a 0.25 ha plot. It is not expected that all the principles of CF will be initially adopted on the 0.25 ha plot, especially the mulching, until farmers witness the impact this system has on increasing crop yield. Farmer exchange visits to neighboring region of eastern Uganda where CF is being practiced will likely inspire the farmers in the targeted district of west Nile region to maintain the 0.25 ha plot.

**Indicator 2.1.5: Percent non-direct CF beneficiaries practicing mulching (100% cover) and permanent planting stations.** As mentioned in Section 4 (Beneficiary Selection), each CFVP household is expected to train two other households in their village on CF. these are referred to as “indirect beneficiaries”. An important measure of the impact and perceived relevancy of CF in improving household food security is the amount of CF adoption by indirect beneficiaries and spontaneous adopters[2](#_bookmark1). This will be measured in the following year using cross- sectional village walks/interviews to determine uptake of CF beyond the direct beneficiaries. The criteria for CF adoption are practicing mulching and permanent planting stations, two critical practices of CF.

### Immediate Outcome 2.2: Increased establishment of OPV maize seed multiplication plots

The immediate outcome of increased establishment of OPV maize seed multiplication plots will result from the training workshops, and contribute to the intermediate outcome of improved access to high quality OPV maize seed. The method used to set up seed multiplication plots is explained in Section 5.5 (Activities). The training on the establishment of seed multiplication plots is to show farmers how they can produce high quality OPV maize seed for their next year’s production, as well as establish and manage a community seed bank. The indicator for this immediate outcome is as follows:

**Indicator 2.2.1: Percentage of CFVPs that established an OPV maize seed multiplication plot with time (2 week) and/or space (200 m) isolation.** These recommended standards are based on crop isolation requirements as taught in workshops.

### Immediate Outcome 2.3: CF Community Extension Design Functioning

The transfer of knowledge to the CFVPs depends on the commitment and skill of Lead CFVPs to conduct the village based training for the 9 other CFVPs that form their village group. ARRD will be carefully monitoring and providing support to the Lead CFVPs to assure the village training is completed. The indicator is:

**Indicator 2.3.1**: **Annual number of workshops facilitated by Lead CFVPs**. Each Lead CFVP is expected to facilitate 4 workshops in their community for the rest of the CFVPs. The total number of workshops will be 4 workshops/community x 10 communities = 40 workshops.

**Indicator 2.3.2: Average number of CFVP households attending each workshop led by Lead CFVPs in their communities.** Attendance at the workshops led by the Lead CFVPs will reflect the level of participation and interest by project beneficiaries. If all CFVPs attend, there should be 10 beneficiary households represented at each workshop.

* 1. **Outputs**

**Output 3.1: CF introduction meetings completed**

CF introduction meetings with village’s famers, traditional leadership, refugee’s leaders/farmers, local governments and office of the Prime Minister (OPM) are the first step in introducing the project, answering questions and understanding the local context. The indicator is:

**Indicator 3.1.1: Number of introduction meetings.** The target will be 7 CF introduction meetings as described below.

* 5 meetings with traditional leadership, refugee + other stakeholders
* 2 meeting with local district government, OPM
* 1 meeting with department of Agriculture District Representative

### Output 3.2: CFVPs selected and registered

The selection and registration of CFVPs will happen during the village meetings. The selection process is covered in Section 4 (Beneficiary Selection). The indicator is:

**Indicator 3.2.1: Number of CFVPs registered:** 100 CFVPs will be registered and will continue in the project as CFVPs for the entire 1 year. If CFVPs choose to withdraw from the project, the community will select replacements.

### Output 3.3: Inputs procured and distributed

Maize and cowpea seed will be procured and distributed. The indicators are:

### Indicator 3.3.1: kg of OPV maize seed distributed

**Indicator 3.3.2: kg of cowpeas distributed**

Specific information on the calculation of the quantities is in Section 5.5 (Inputs).

### Output 3.4: Training workshops completed

Training workshops for farmers will be organized throughout the project cycle on specific themes as described in Section 5.6.1 (Activities). The indicator is:

**Indicator 3.4.1: Annual number of workshops facilitated for Lead CFVPs.** Section 5.6.1 describes the various themes presented in the workshops. There will be a series of 4 workshops presented quarterly at the village level for all Lead CFVPs. Total number of workshops will be 4 workshops x 10 villages = 40 workshops on an quarterly basis. Each project assistant will be responsible for their 2 villages for a total of 8 workshops during the year.

**Indicator 3.4.2: Average number of Lead CFVPs that attend training workshops.** ARRD PAs will facilitate the training of trainers’ workshops for Lead CFVPs. Each quarter there will be 2 workshops presented in each village for the Lead CFVPs. It is expected that 10 Lead CFVPs will be present at each of these workshops.

### Output 3.5: Field visits completed

Field visits are essential to make sure that the project is on course and track the progress in meeting the outputs and outcomes. The indicator is:

**Indicator 3.5.1: Annual number of field visits**. Four times/quarter a project assistant will visit the lead CFVP and 2 randomly selected CFVPs from the community to observe adherence to CF farming principles and discuss challenges with CF. This will be considered as one field visit as it is expected that all three visits will be done the same day. The approximate times when these visits will occur as follows:

* Field Preparation Stage – July
* Early Plant Growth Stage – September
* Pre-Harvest Stage – November
* Post-Harvest Stage – February

Since each village will receive four visits/quarter x 15 villages, the total number of field visits will be

60. The work load per PA will 20 field visits for the entire project period.

### Output 3.6: Field days organized

Field days allow for knowledge sharing and promotion of CF within the ward. The indicator is:

**Indicator 3.6.1: Annual number of field days.** One field day will be organized in each village for a total of 2 field days quarter.

**Indicator 3.6.2**: **Average number of farmers that attended each field day.** This indicator will help to measure the level of interest in CF in the community. Experience that the field day was an important method to expose more farmers to CF.

* 1. **Inputs**

Personnel

Four personnel are required to manage the project – Field Teams Project Officer (100%), two Project Assistants (100%) and a Finance Officer (100% of full-time).

The Project Officer (PO) is based in the field and is the head of field staff. Their responsibility includes:

* Project implementation, monitoring and evaluation
* Writing monthly, interim, annual and end of project reports
* Review financial reporting
* Coordinate with other partners in the district
* Supervise field project staff

The 2 Project Assistants (PAs) are based in the field and have the following responsibilities:

* Oversee day to day running of the project
* Coordinate project meetings between project staff, the community and other stakeholders at the grassroots level
* Organize and present workshops
* Carry out monitoring and evaluation data collection in the assigned village

The Finance Officer’s primary responsibilities include:

* Management of all the day to day financial issues
* Compiling and presenting financial reports to Project Officer
* Paying project expenses and salaries

Transport

ARRD currently has no available transport and therefore will have to hire to facilitate the implementation of this project in the field.

Seed

The project will provide OPV maize cowpea seed to all CFVPs. The total size of the CF plot will be 50m x 50m. 50m x 40m will be dedicated to OPV maize production and the remainder, 50m x 10m, will be for cowpeas. The following table summarizes the seed requirements for the first year of the project. It is expected that after this initial “seed money” is given, the communities will be self-sufficient in seed production.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Seed Type** | **No. of****Beneficiaries** | **Seeding Rate****(kg/ha)** | **Field Size** | **Seed per****Beneficiary** | **Total Seed****Requirements** |
| OPV maize |  100 |  30 | 40m x 50m (0.2 ha) |  6 kg | 600 kg OPVmaize |
| Cowpea |  100 |  80 | 10m x 50m (0.05 ha) |  4 kg | 400 kgcowpeas |

* 1. **Activities**

#### Field Activities

The following field activities, except the CF introduction meetings, will be repeated throughout the project period. Experience has shown that this follow-up is required to assure that CF is firmly established with farmers adopting all the key practices associated with CF.

Coordination

Consultation and coordination with villages, local leadership, local government, and other NGOs plays a central role in the implementation of this project. The District agriculture department also plays a key role in determining where NGOs like ARRD work. Regular monthly meetings with this department are necessary to inform other NGOs of the project implementation progress and any other challenges. Coordination with the Agriculture extension officers based in the district and those other stakeholders with UNHCR is a key component of the project. These officers will participate in the organization and often facilitate the CF trainings, as well as provide more intense follow-up with farmers that ARRD staffs are not able to accomplish because of time restrictions.

An ongoing challenge with CF promotion is coordinating with other NGOs, many of which also promote CF but emphasize the use of chemical fertilizers and animal traction equipment for zero tillage.

Continued conversation with NGOs is important to work towards reaching a common understanding of the most sustainable way to improve food security for the most vulnerable households who are resource poor.

Conservation Farming Introduction Meetings

In order to secure permission to work in the designated areas, a Memorandum of Understanding will be signed as part of the negotiation process with local governments, office of the prime minister, UNHCR as well as traditional leadership. Then, community mobilization meetings will be organized to explain the project and its objectives to the communities. An important part of these meetings is to listen to what people identify to be the greatest challenges affecting their food security. ARRD will also invite a farmer from another region to relate a personal account of CF during these meetings. After the process of participant selection and registration is complete, farmers will sign contracts to ensure their commitment to the Project.

Conservation Farming Description and Workshops

CF is a minimum tillage system adapted to smallholder farming systems that emphasizes mulching, using locally available manure and digging planting stations rather than ploughing fields. This technology has already transformed many farmers’ livelihoods in the neighboring region of Karamoja in eastern Uganda. Once food aid beneficiaries, these farmers now produce enough cereal to cover annual needs, and some even produce an excess for sale.

Lead CFVPs learn a series of farm practices which are essential to implementing CF. Two CF workshops for the 10 Lead CFVPs will be organized and facilitated by ARRD staff and, when possible, government extension workers on an quarterly basis. These will be repeated to assure that the correct methodologies are being used. The themes for each workshop are as follows:

* + - 1. *Field Preparation (July)*

The field preparation workshop will be done in July. It is important to conduct this workshop as early as possible to give farmers time to organize and prepare their fields. The following practices and concepts will be presented during the two-day field preparation workshops:

* Advantages of CF – improved moisture conservation and fertility management, timely seeding, labour opportunities in off-season, and reduced reliance on animals and/or tractors
* Marking of CF plots using a 60 cm x 75 cm grid system
* Establishment of planting stations
* Manure and mulch application
* Seeding
* Effective methods to train other CFVP and record keeping on CF community adoption
* Introduction to OPV seed multiplication plots
* Introduction to the operation of community seed banks
	+ - 1. *Crop Management (November/December)*

Two day crop management workshops will be conducted in December and focus on thinning and weed control, as well as reviewing themes from the previous workshop.

* + - 1. *Crop Management Overview (January)*

A one-day workshop overview of CF crop management will provide a forum to review CF practices focusing on activities which require reinforcement. Reports from field staff, government extension workers and Lead CFVPs will be used to obtain information on activities that will require attention and technical support. Special attention will be focused on analyzing the uptake of CF by other CFVPs.

* + - 1. *Harvest Principles (February)*

One day workshops will be organized in February to teach participants how to calculate yield, properly store maize, select high quality OPV maize seed for next cropping season and give participants an opportunity to exchange experiences. Specific attention will be given to the organization and management of the community OPV maize seed bank.

The next critical step in the extension process is the training of the rest of the CFVPs in CF by the Lead CFVPs. During the workshops mentioned above, Lead CFVPs will be given opportunities to develop their skills in training others on CF. Fortunately the CF technology is very simple to teach. Experience in other CF projects has shown how quickly farmers can learn how to do CF when they begin on a small plot. After the Lead CFVPs receive training from ARRD, they will convene a similar workshop in their community to share what they learned with the other CFVPs. Initially the ARRD PAs will accompany the Lead CFVPs to help them conduct their training until CF is being taught appropriately.

**OPV Community Seed Banks**

Two OPV maize community seed banks will be organized per village for a total of 20 OPV maize community seed banks for the project. The contract signed by the CFVPs includes a payment of 6 kg of high quality OPV maize seed and 4 kg of cowpea seed to the community seed bank after the first year’s harvest. Assuming all the 100 CFVPs donate these quantities of seed, the total amount of OPV maize and cowpea seed collected by the project will be 600 kg and 400 kg, respectively. Based on previous projects, CFVPs often gave beyond the required contribution as stipulated in the CFVP contract.

Beneficiaries for the seed distribution from the community seed banks for the second and third year will be selected based on criteria developed by the community seed bank committee in conjunction with ARRD staff. Preference will be given to community members experimenting with CF based on training from CFVPs. The community seed bank’s purpose is mainly to increase the availability of high quality OPV maize seed in the community. Whether the seed bank will continue after the project terminates depends on the needs identified by the community seed bank committee.

Special training and follow-up is required to assure the community seed banks function effectively. Each community selects one of their CFVPs to be their community representative on the community seed bank committee. A special training is organized for the community seed bank committees during the workshops in February which includes topics of organization, pest management and administration.

**Field Visits**

Each Lead CFVP will receive a field visit by ARRD staff 4 times during the cropping season to monitor adherence to CF standards, help participants fill out their farmer record books, answer questions and provide encouragement. These visits will be recorded in the ARRD staff logbook for reference. These field visits will also be used for monitoring and evaluation purposes, and will be explained in more detail in Section 6 (Monitoring and Evaluation).

**Field Days**

Field days will be held in start and the end of the quarter. One or two field day will be organized in each project site consisting of 5 villages. Field days will be located at the farm of the Champion CFVP which will be identified by ARRD staff and local leaders from each site. The field days are an opportunity to promote CF to the larger community who are not yet aware of CF.

#### Seed Procurement

Seed procurement of maize and cowpeas is based on the three quotations attached to the email with this proposal. Three seed companies were contacted and presented ARRD with quotations for the 600 kg OPV maize seed and 400 kg of cowpea seed. Upon project approval, a tender for the seed will be sent to each of the seed companies. A committee at ARRD will review the tenders and select the supplier based on price, quality and track record of the supplier. The indicative quotations were used in the development of the budget. Seed will be delivered to the project site.

#### Annual Timeline

The following timeline will be used for quarter 1, 2 and 3, except the items which are noted as “Qtr1”. The annual report will be an end of project report summarizing the project outcomes to year.

|  |  |  |
| --- | --- | --- |
| **ACTIVITY** | Year -2019 | Year - 2020 |
| Jul | August | Sept | Oct | Nov | Dec | Jan | Feb | Mar | Apr | May |
| Memorandum of Agreement (Qtr 1) | X |  |  |  |  |  |  |  |  |  |  |
| Staff recruitment (Qtr 1) | X |  |  |  |  |  |  |  |  |  |  |
| Coordination meetings | X | X | X | X | X | X | X | X | X | X | X |
| CF introduction meetings (Qtr1) | X | X |  |  |  |  |  |  |  |  |  |
| CFVP selection | X | X |  |  |  |  |  |  |  |  |  |
| Baseline survey (Qtr 1) | X |  |  |  |  |  |  |  |  |  |  |
| Seed procurement (Qtr1) | X |  |  |  |  |  |  |  |  |  |  |
| Workshop #1 – Field Preparation |  | X |  |  |  |  |  |  |  |  |  |
| Workshop #2 – Crop Management |  |  |  |  | X | X |  |  |  |  |  |
| Workshop #3 – Crop Management |  |  |  |  |  |  | X |  |  |  |  |
| Workshop #4 – Harvest Principles |  |  |  |  |  |  |  | X |  |  |  |
| Field visits/M&E visits |  |  |  | X | X | X | X | X | X | X |  |
| Mid-season survey |  |  |  |  |  | X |  |  |  |  |  |
| Interim Report Submission |  |  |  |  |  | X | X |  |  |  |  |
| Field days |  |  |  |  |  |  |  |  | X |  |  |
| Impact survey |  |  |  |  |  |  |  |  |  |  | X |
| Annual report |  |  |  |  |  |  |  |  |  |  | X |

* 1. **Risks and Mitigation Strategy**

Risk #1: Reputational risk. Since CF is such a new concept for farmers who have been ploughing fields for a lifetime, there may be stigma attached to participating or adopting the technology of mulching and minimum tillage which are key to CF. To mitigate these effects, CF farmers will be organized into clusters, often in village groups, to provide mutual moral and technical support as they experiment with CF.

Risk #2: Excessive activity by termites. Based on experience from other regions, some CF plots have extremely high populations of termites which removed all the mulch material within a week. Given the importance of the mulch layer for moisture retention and soil fertility, participant households will need to investigate mulch materials which are not as desirable for the termites. At present the only mitigation strategy is to closely monitor fields and replace the mulch if it is removed by termites.

Risk #3: Drought: Experience from other projects has shown that the CF management system almost always increases crop yields over the conventional system. However, during a severe drought, even CF will not produce a crop and therefore a severe drought is considered a threat. The mitigation strategy will be carefully monitoring the adoption of key CF principles (i.e.: mulch and minimum tillage) which improve moisture conservation.

* 1. **Logic Model**

\* See Annex A.

## Monitoring and Evaluation

* 1. **Monitoring and Evaluation Plan**

The monitoring and evaluation plan for this Project will focus on using the agreed upon indicators to measure whether the outputs and outcomes have been achieved. The Performance Measurement Framework (PMF) found in Annex B summarizes the indicators, data sources, collection methodology, frequency of data collection, population covered and the position responsible for completing this task. Some information about each of the indicators has already been provided in Section 5 (Project Description).

Some important points to highlight about this M&E Plan include the following:

* A baseline survey (Annex C) will be conducted at the beginning of the project. Section 6.2 provides more detail.
* The mid-season survey (Annex D) of the immediate outcomes will provide information regarding the adoption rate of CF practices and the establishment of OPV maize seed multiplication plots. This information will highlight where emphasis needs to be placed in the Crop Management Overview Workshop in January. Issues that need additional attention in subsequent years will be noted.
* The intermediate outcome, “increased number of months that crop production meets annual household cereal food needs” will be measured by asking the CFVPs how many months their own cereal production meets their household cereal food needs. This indicator is key to measuring whether food security has improved with the introduction of CF. Careful attention will be placed to cross-reference the information learned from this outcome with actual food production increases measured by indicators of conventional and CF maize production.
* A trans-sectional walk/household interview will be conducted towards the end of the years to determine adoption of CF practices by indirect beneficiaries and spontaneous adopters. This data collection will be replicated in 3 randomly selected villages in each project site visiting a minimum of 20 households per village.
	1. **Baseline Survey**

The baseline survey at the beginning of the Project will identify the current pre-project cereal and legume production levels and the food security situation of the project beneficiaries. This information is necessary to show in the future that there has actually been an increase in food production and food security. The questions for the baseline study are adapted directly from the indicators for intermediate outcomes 1.1 and 1.2. Refer to Annex C for a copy of the proposed baseline survey.

* 1. **Indicator Tracking Table Plan**

The ITTP is found in Annex E. As this is a 1 year project, each quarter usually has different targets for the intermediate and immediate outcomes because the CFVPs will grow in their understanding of CF over time, and improve their application of the crop management system. The ITTP is the main monitoring tool that will be used over the life of the project to measure the progress in meeting the targets.

* 1. **Project Evaluations**

At the end of the one year’s project, an evaluation of selected outcomes will be carried out using the Annual Impact Survey (see Annex F). This tool will gather information from randomly selected CFVPs. In addition, there will be focus group discussions and review of field staff record books (Outputs).

Eventually the final End-of Project Evaluation will be conducted after the project is complete to confirm overall project impact and make recommendations for future Projecting. Terms of reference for this evaluation will be designed by ARRD with input from the CF donor member and CF donor Monitoring and Evaluation officer.

## Cross-Cutting Themes and Principles

* 1. **Gender**

Women play a critical role in crop production. The experience from other regions explained that if only one representative per household was invited to the workshop, usually just the male household heads attended the event. We have adjusted the workshop participant list to include two members from each household as the CFVP unit to increase the number of women who will receive the training.

Based on focus group discussions in neighboring region where a CF project was recently completed, women found CF to be less work than conventional production systems to reach household food security. Some of the reasons cited for this labour reduction included reduced time spent weeding and less travel time for agriculture production due to the close proximity of the CF plot to the house. This labour savings by women is a significant advantage of this Project since women are often left with the majority of the labour tasks related to food production. It is also an important reason why ARRD is strongly promoting CF.

* 1. **Participant Involvement**

One of the reasons why ARRD decided to work in Palorinya of Moyo District is because community leaders observed changes in food security from CF in the other region where CF had been promoted, and approached; we see the possibility of implementing a similar CF project in Moyo District. This interest in the design of a specific CF project highlights a high level of beneficiary involvement.

Participants of this project will be involved in the capacity building to enhance CF adoption. Lead CFVPs will be responsible to train others in their village. In turn, all CFVPs are required to train at least an additional 2 households in their village as a form of promoting CF.

During the M&E phases of the Project, a Lead CFVP from a neighboring region will accompany the ARRD staff in the monitoring/evaluation of the Project in a site/village. At the end of the quarter, the CFVPs will gather with ARRD staff to discuss how the project was implemented and what can be improved for the next quarter. This information will be included in the annual report.

* 1. **Environment**

Conservation farming is a farming system designed to protect the environment by efficiently managing water, soil fertility and biological resources. Instead of water running off of fields causing erosion, the water is retained in the field by the mulch and percolates into the soil for the crop to use. Soil fertility and biological activity is enhanced as nutrient cycling breaks down the mulch and incorporates this organic residue into the soil for the crop to use.

The only possible negative environmental impact could be the cutting down of trees to protect the CF plots. However, the overall impact of this will be very small as most farmers use thorns and brush that are normally burned. ARRD will promote stewardship of the environment in their workshops, and begin investigating the use of living fences to protect CF plots.

* 1. **Capacity Building**

The capacity building plan will focus around building a better understanding of the challenges of CF and how to make community seed banks successful. The following activities will be undertaken with these goals in mind:

* + - CF Training of Trainers Workshop – Two of the ARRD project staff will attend a CFGB organized “Trainer of Trainers” workshop. In this workshop, the participants will practice their CF presenting skills and be coached and evaluated by the facilitator and participants from other organizations.
		- Monitoring and Evaluation Workshop – The Project Officer will participate in an M&E workshop to strengthen their skills in monitoring and evaluating the project.
		- Investigation of Community Seed Bank Organization – Community seed banks have been tried numerous times, with limited success. Literature will be reviewed and other organizations consulted to determine what are the key aspects to consider in the formation and management of community seed banks .
		- Monitoring and Evaluation – Strengthening ARRD’s M&E system is vital to assure that the project meets its intended outcomes. A staff person will participate in an M&E course offered by a local capacity building organization and apply lessons learned to the project.
	1. **Sustainability**

The sustainability of this CF project will be determined by the CF adoption level by CFVPs over the period of the project. However, even more important in terms of sustainability is the measurement of the uptake of CF by indirect beneficiaries (households trained by CFVPs) and households who spontaneously adopt CF practices without any input incentives or training. Based on the experience of the previous CF project, approximately half of the households in the villages were practicing CF by the end of the project. Only 15% of the households were trained.

Sustainability will also be measured in the short term by the increased food production over the project period. If farmers can increase their food production in a sustainable way without relying on expensive external inputs such as inorganic fertilizers and hybrid seeds, it is assumed that the sustainability of their crop production system has improved.

The district where this project will be implemented has limited market access for the sale of excess crop production. ARRD realizes that if farmers can sell their surplus production, their income will be increased and this will likely improve food security and the project sustainability. During the course of the project, ARRD will investigate how to improve the market access for farmers in order to sell surplus production.

## Budget

See Annex G for 1 year budget summary and Annex H for project budget. The following banking information is necessary for wiring of funds:

|  |  |
| --- | --- |
| **Organization Name:** | African Response to relief and development |
| **Organization Address:**  | C/o P0. Box 72555 |
| **Bank Name:**  | Stanbic |
| **Brach:**  | Garden City |
| **Account Name:**  | African Response to relief and development |
| **Account Number:** | 9030015627599 |